



CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231, on May 21, 2001.

\_\_\_\_\_  
Attorney for Applicant(s)

PATENT  
Docket No.4000.2.35

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Charles R. Broadus )  
Serial No.: 09/820,331 )  
Filed: March 27, 2001 )  
For: PASSIVE PROGRAM COMPLETION STATUS )  
INDICATOR FOR AN ELECTRONIC PROGRAM )  
GUIDE )

**TRANSMITTAL OF RESPONSE TO NOTICE TO FILE**  
**MISSING PARTS OF APPLICATION (FILING DATE GRANTED)**

Assistant Commissioner  
for Patents  
Washington, D.C. 20231

Attention: Box Missing Parts

Dear Sir:

In response to the Notice to File Missing Parts of Application mailed May 7, 2001, enclosed please find the following items for filing in the United States Patent and Trademark Office in connection with the above-identified patent application:

- (1) a Declaration, Power of Attorney, and Petition executed by the inventor Charles R. Broadus;

(2) a Fee Transmittal;

(3) a Credit Card Payment Form in the amount of \$1020 to cover the filing fee for the above-identified application and the surcharge fee pursuant to 37 C.F.R. § 1.16(e).

(4) a copy of the Notice to File Missing Parts of Application - Filing Date Granted;

Please debit any additional fees, or credit any over payment, to Deposit Account No. 13-0763. A duplicate copy of this sheet is enclosed.

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or to credit any overpayment to Deposit Account No. 13-0763. A duplicate copy of this sheet is enclosed.

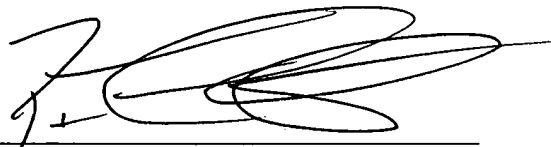
x Any additional filing fees required under 37 C.F.R. § 1.16.

x Any patent application processing fees under 37 C.F.R. § 1.17.

x Any filing fees under 37 C.F.R. § 1.16 for presentation of extra claims.

Please address all future correspondence in connection with this application to the attention of the undersigned.

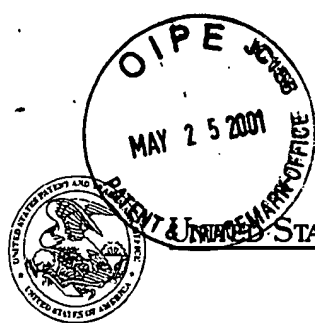
Respectfully submitted,



Kory D. Christensen  
Reg. No. 43,548  
Attorney for Applicant

Date: May 21, 2001

MADSON & METCALF  
900 Gateway Tower West  
15 West South Temple  
Salt Lake City, Utah 84101  
Telephone: 801/537-1700



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/820,331	03/27/2001	Charles R. Broadus	4000.2.35

CONFIRMATION NO. 3137

21552  
MADSON & METCALF  
GATEWAY TOWER WEST  
SUITE 900  
15 WEST SOUTH TEMPLE  
SALT LAKE CITY, UT 84101

## FORMALITIES LETTER



\*OC000000006046412\*

Date Mailed: 05/07/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$180.
  - \$180 for 10 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1020.

*A copy of this notice **MUST** be returned with the reply.*

05/31/2001 GTEFFERA 00000005 09820331

01 FC:101  
02 FC:103  
03 FC:105

710.00 OP  
180.00 OP  
130.00 OP

Customer Service Center

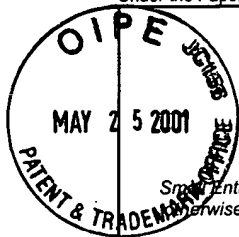
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Please type a plus sign (+) inside this box → ☐

PTO/SB/05 (6/99)  
Approved for use through 9/30/00. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



# FEE TRANSMITTAL

Patent fees are subject to annual revision.

Small entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See forms PTO/SB/09=12. See 37 C.F.R. §§ 1.27 and 1.28

## Complete If Known

Application Number	09/820,331
Filing Date	March 27, 2001
First Named Inventor	Charles R. Broadus
Group Art Unit	
Examiner Name	
Attorney Docket Number	4000.2.35

## TOTAL AMOUNT OF PAYMENT

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number   
Deposit Account Name

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 ☐ Charge the Issue Fee In 37 CFR at the Mailing of the Notice of Allowance

2. ☒ Payment Enclosed:

☐ Check ☐ Money Order ☒ Other: Credit Card

## FEE CALCULATION

### 1. FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
		101	710	201	355	Utility filing fee	710
		106	320	206	160	Design filing fee	
		107	490	207	245	Plant filing fee	
		108	710	208	355	Reissue filing fee	
		114	150	214	75	Provisional filing fee	

### SUBTOTAL (1)

### 2. CLAIMS

Total Claims	Extra	Fee from below	Fee Paid
30	-20 = 10	x 18 = 180	
Ind. Claims 3	-3 = 0	x 80 = 0	
Multiple Dep. Claims	0	x 135 = 0	

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
		103	18	203	9	Claims in excess of 20
		102	80	202	40	Independent claims in excess of 3
		104	270	204	135	Multiple dependent claim
		109	80	209	40	Reissue independent claims over original patent
		110	18	210	9	Reissue claims in excess of 20 and over original patent

### SUBTOTAL (2)

180

\*Reduced by Basic Filing Fee

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
		105	130	205	65	Surcharge - late filing fee or oath	130
		127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
		139	130	139	130	Non-English specification	
		147	2520	147	2520	For filing a request for reexamination	
		112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
		113	1840*	113	1840*	Requesting publication of SIR after Examiner action	
		115	110	215	55	Extension for reply within first month	
		116	390	216	195	Extension for reply within second month	
		117	890	217	445	Extension for reply within third month	
		118	1390	218	695	Extension for reply within fourth month	
		128	1890	228	945	Extension for reply within fifth month	
		119	310	219	155	Notice of Appeal	
		120	310	220	155	Filing a brief in support of an appeal	
		121	270	221	135	Request for oral hearing	
		138	1510	138	1510	Petition to institute a public use proceeding	
		140	110	240	55	Petition to revive - intentional	
		141	1240	241	320	Petition to revive - unintentional	
		142	1240	242	620	Utility issue fee	
		143	440	243	220	Design issue fee	
		144	600	244	300	Plant issue fee	
		122	130	122	130	Petitions to the Commissioner	
		123	50	123	50	Petitions related to provisional applications	
		126	240	126	240	Submission of Information Disclosure Stmt	
		581	40	581	40	Recording each patent assignment per property (times number of properties)	
		146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
		149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

### SUBTOTAL (3)

130

## SUBMITTED BY

Typed or Printed Name Kory D. Christensen

Signature

Date

May 21, 2001

## Complete (if applicable)

Reg. Number 43,548

Deposit Account User ID

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.